# IN THE UNITED STATES DISTRICT COURT FOR THE NORTHERN DISTRICT OF GEORGIA ATLANTA DIVISION

TASER INTERNATIONAL, INC., et al.,	)
Plaintiffs,	<ul><li>) Case No: 1:10-CV-03108-JEC</li><li>) [On removal from the State</li><li>) Court of Fulton County,</li></ul>
v.	) Georgia Case No.:
	) 2008-EV-004739-B]
MORGAN STANLEY & CO., INC., et al.,	)
	)
Defendants.	)
	)

# NOTICE TO TAKE VIDEOTAPED DEPOSITION OF JEFFREY A. WOLFSON

**TO:** Jeffrey A. Wolfson 2879 Woodmere Drive Northbrook, Illinois 60062

PLEASE TAKE NOTICE that, pursuant to the Federal Rules of Civil Procedure, Plaintiffs will take the deposition of JEFFREY A. WOLFSON on May 26, 2011, beginning at 9:30 a.m. at the offices of Rothschild Barry & Myers LLP, 55 West Monroe, Suite 3900, Chicago, Illinois 60603 or at such other time and place as may be mutually agreed upon by the parties. A copy of the subpoena is attached hereto as Exhibit A. The deposition will take place before an authorized officer and pursuant to the Federal Rules of Civil Procedure. The deposition will be recorded by sound, videotape and/or stenographic means.

## This 18th day of April, 2011.

/s/ Michael A. Caplan John E. Floyd Georgia Bar No. 266413 floyd@bmelaw.com Steven J. Rosenwasser Georgia Bar No. 614908 rosenwasser@bmelaw.com Nicole G. Iannarone Georgia Bar No. 382510 iannarone@bmelaw.com Elizabeth G. Eager Georgia Bar No. 644007 eager@bmelaw.com Michael A. Caplan Georgia Bar No. 601039 caplan@bmelaw.com Robert L. Ashe Georgia Bar No. 208077 ashe@bmelaw.com Bondurant, Mixson & Elmore, LLP 3900 One Atlantic Center 1201 West Peachtree Street, N.W. Atlanta, Georgia 30309-3417 (404) 881-4100 Tel. (404) 881-4111 Fax

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Attorneys for Plaintiffs

### **CERTIFICATE OF SERVICE**

I hereby certify that on this day, a true and correct copy of the foregoing

NOTICE TO TAKE VIDEOTAPED DEPOSITION OF JEFFREY A.

**WOLFSON** was electronically filed with the Clerk of Court using the Court's electronic filing system which will automatically send an email notification of such filing to the following attorneys of record who are registered participants in the Court's electronic notice and filing system:

### **Attorneys for Defendants:**

Richard H. Sinkfield, Esq.
Dan F. Laney, III, Esq.
Kristina M. Jones, Esq.
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Attorneys for Banc of America Securities, LLC; Merrill Lynch, Pierce, Fenner & Smith, Inc.; and Merrill Lynch Professional Clearing Corporation:

Andrew J. Frackman, Esq. Brad Elias, Esq. O'Melveny & Myers LLP 7 Times Square New York, NY 10036

# Attorneys for Morgan Stanley & Co. Incorporated:

Melissa T. Aoyagi, Esq. Davis Polk & Wardwell LLP 450 Lexington Avenue New York, NY 10017

# Attorneys for Goldman, Sachs & Co. and Goldman Sachs Execution & Clearing, L.P.:

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## Attorneys for Deutsche Bank Securities Inc.:

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One World Financial Center
New York, NY 10281

# Attorneys for Credit Suisse Securities (USA), LLC.:

Fraser L. Hunter, Jr., Esq. Wilmer Cutler Pickering Hale & Dorr LLP 399 Park Avenue New York, NY 10022

Further, I hereby certify that on this day, I caused to be served a true and correct copy of the foregoing by United States mail and electronic mail on:

# Attorneys for Bear Stearns & Co., Inc. and Bear Stearns Securities Corp.:

Stephen L. Ratner, Esq. Harry Frischer, Esq. Brian L. Friedman, Esq. Proskauer Rose LLP 11 Times Square New York, NY 10036-8299

## Attorneys for UBS Securities, LLC:

Andrew B. Clubok, Esq.
Jeffrey G. Landis, Esq.
Daniel Gomez, Esq.
Kirkland & Ellis LLP
655 Fifteenth Street, N.W., Suite 1200
Washington, DC 20005-5793

This 18th day of April, 2011.

/s/ Michael A. Caplan

Michael A. Caplan Georgia Bar No. 601039

E X H I B I T

A

AO 88A (Rev. 01/09) Subpocna to Testify at a Deposition or to Produce Documents in a Civil Action

# UNITED STATES DISTRICT COURT

for the

Northern District of Illinois

TASER INTERNATIONAL, INC., et al	)
Plaintiff	)
ν.	) Civil Action No. 1:10-cv-03108-JEC
MORGAN STANLEY & CO., INC., et al	) (If the action is pending in another district, state where:
Defendant	
Dejenaani	) Northern District of Georgia
	TIFY AT A DEPOSITION UMENTS IN A CIVIL ACTION
To: JEFFREY A. WOLFSON, 2879 Woodmere Drive, N	lorthbrook, Illinois 60062
deposition to be taken in this civil action. If you are an o	ear at the time, date, and place set forth below to testify at a rganization that is <i>not</i> a party in this case, you must designate signate other persons who consent to testify on your behalf nment:
Place: Rothschild Barry & Myers LLP	Date and Time:
55 West Monroe, Suite 3900	05/26/2011 09:30
Chicago, Illinois 60603	00/20/2011 03:30
The deposition will be recorded by this method:	Stenographer and Videographer
	also bring with you to the deposition the following documents, permit their inspection, copying, testing, or sampling of the
	to your protection as a person subject to a subpoena, and Rule poena and the potential consequences of not doing so, are
Date:03/23/2011 CLERK OF COURT	OR Muchael & Wall
Signature of Clerk or Deputy	Clerk Attorney's signature
The name, address, e-mail, and telephone number of the	
Plaintiff TASER International, Inc., et al	, who issues or requests this subpoena, are;
Michael J. Wall Rothschild Barry & Myers LLP, 55 West Monroe, Suite 3 (312) 372-2345 wall@rbmchicago.com	900, Chicago, Illinois 60603

SENT BY: AA;

5555555;

APR-15-11 4:38PM;

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AO 88B (Rev. 01/09) Subpoens to Produce Documents, Information, or Objects or to Permit Inspection of Premises (Page 2)

Civil Action No. 1:10 - CV- 03109- TEC

#### PROOF OF SERVICE

(This section should not be filed with the court unless required by Fed. R. Clv. P. 45.)

			***************************************
This subpoena for (	name of individual and title, if any) 5	EFFREY WOLFSON	V, EVBROTNA TR TO
vas received by me on (date)			
I personally serv	ed the subpoena on the individual at	(place) 2879 WOOD	MERE DE
NORTH	BRANK, ILZ.	on (date) 4(3/1	· ; or
	na at the individual's residence or us		4 10
		erson of sultable age and discre	
on (date)	, and mailed a copy to the	e individual's last known addre	SS; OF
I served the subp	ocena to (name of individual)		, who is
designated by law t	to accept service of process on behal	fof (name of organization)	
		on (date)	; or
I returned the sul	bpoena unexecuted because	<del>-</del>	; or
other (specify):			
Unless the subpoend tendered to the with	a was issued on behalf of the United less fees for one day's attendance, at	States, or one of its officers or ad the mileage allowed by law,	agents; I have also in the amount of
Unless the subpoend tendered to the with	a was issued on behalf of the United ess fees for one day's attendance, at	States, or one of its officers or id the mileage allowed by law,	agents, I have also in the amount of
tendered to the witn	ess fees for one day's attendance, at	States, or one of its officers or and the mileage allowed by law,	in the amount of
tendered to the with  \$  Iy fees are \$	for travel and \$alty of perjury that this information	nd the mileage allowed by law,	I of \$

Additional information regarding attempted service, etc:

#### Federal Rule of Civil Procedure 45 (c), (d), and (e) (Effective 12/1/07)

#### (c) Protecting a Person Subject to a Subpoena.

- (1) Avoiding Undue Burden or Expense; Sanctions. A party or attorney responsible for issuing and serving a subpoena must take reasonable steps to avoid imposing undue burden or expense on a person subject to the subpoena. The issuing court must enforce this duty and impose an appropriate sanction which may include lost earnings and reasonable attorney's fees on a party or attorney who fails to comply.
  - (2) Command to Produce Materials or Permit Inspection.
- (A) Appearance Not Required. A person commanded to produce documents, electronically stored information, or tangible things, or to permit the inspection of premises, need not appear in person at the place of production or inspection unless also commanded to appear for a deposition, hearing, or trial.
- (B) Objections. A person commanded to produce documents or tangible things or to permit inspection may serve on the party or attorney designated in the subpoena a written objection to inspecting, copying, testing or sampling any or all of the materials or to inspecting the premises or to producing electronically stored information in the form or forms requested. The objection must be served before the earlier of the time specified for compliance or 14 days after the subpoena is served. If an objection is made, the following rules apply:
- (i) At any time, on notice to the commanded person, the serving party may move the issuing court for an order compelling production or inspection.
- (ii) These acts may be required only as directed in the order, and the order must protect a person who is neither a party nor a party's officer from significant expense resulting from compliance.
- (3) Quashing or Modifying a Subpoena.
- (A) When Required. On timely motion, the issuing court must quash or modify a subpoena that:
  - (i) fails to allow a reasonable time to comply;
- (ii) requires a person who is neither a party nor a party's officer to travel more than 100 miles from where that person resides, is employed, or regularly transacts business in person except that, subject to Rule 45(c)(3)(B)(iii), the person may be commanded to attend a trial by traveling from any such place within the state where the trial is held;
- (iii) requires disclosure of privileged or other protected matter, if no exception or waiver applies; or
  - (iv) subjects a person to undue burden.
- (B) When Permitted. To protect a person subject to or affected by a subpoena, the issuing court may, on motion, quash or modify the subpoena if it requires:
- (i) disclosing a trade secret or other confidential research, development, or commercial information;
- (ii) disclosing an unretained expert's opinion or information that does not describe specific occurrences in dispute and results from the expert's study that was not requested by a party; or
- (iii) a person who is neither a party nor a party's officer to incur substantial expense to travel more than 100 miles to attend trial.
- (C) Specifying Conditions as an Alternative. In the circumstances described in Rule 45(c)(3)(B), the court may, instead of quashing or modifying a subpoena, order appearance or production under specified conditions if the serving party:
- (i) shows a substantial need for the testimony or material that cannot be otherwise met without undue hardship; and
- (ii) ensures that the subpoenaed person will be reasonably compensated.

- (d) Duties in Responding to a Subpoena.
- (1) Producing Documents or Electronically Stored Information.

  These procedures apply to producing documents or electronically stored information:
- (A) Documents. A person responding to a subpoena to produce documents must produce them as they are kept in the ordinary course of business or must organize and label them to correspond to the categories in the demand.
- (B) Form for Producing Electronically Stored Information Not Specified. If a subpoena does not specify a form for producing electronically stored information, the person responding must produce it in a form or forms in which it is ordinarily maintained or in a reasonably usable form or forms.
- (C) Electronically Stored Information Produced in Only One Form. The person responding need not produce the same electronically stored information in more than one form.
- (D) Inaccessible Electronically Stored Information. The person responding need not provide discovery of electronically stored information from sources that the person identifies as not reasonably accessible because of undue burden or cost. On motion to compel discovery or for a protective order, the person responding must show that the information is not reasonably accessible because of undue burden or cost. If that showing is made, the court may nonetheless order discovery from such sources if the requesting party shows good cause, considering the limitations of Rule 26(b)(2)(C). The court may specify conditions for the discovery.
- (2) Claiming Privilege or Protection.
- (A) Information Withheld. A person withholding subpoenaed information under a claim that it is privileged or subject to protection as trial-preparation material must:
  - (i) expressly make the claim; and
- (ii) describe the nature of the withheld documents, communications, or tangible things in a manner that, without revealing information itself privileged or protected, will enable the parties to assess the claim.
- (B) Information Produced. If information produced in response to a subpoena is subject to a claim of privilege or of protection as trial-preparation material, the person making the claim may notify any party that received the information of the claim and the basis for it. After being notified, a party must promptly return, sequester, or destroy the specified information and any copies it has; must not use or disclose the information until the claim is resolved; must take reasonable steps to retrieve the information if the party disclosed it before being notified; and may promptly present the information to the court under seal for a determination of the claim. The person who produced the information must preserve the information until the claim is resolved.
- (e) Contempt. The issuing court may hold in contempt a person who, having been served, fails without adequate excuse to obey the subpoena. A nonparty's failure to obey must be excused if the subpoena purports to require the nonparty to attend or produce at a place outside the limits of Rule 45(c)(3)(A)(ii).